#### 1 REMARKS 2 Information Disclosure Statement 3 In Paragraph 6 of the Detailed Action, the reference from the CRC Handbook of Chemistry was not considered because all the pages mentioned in the Information Disclosure 4 5 Statement were not submitted. Accompanying this Response are all the pages 10-181 through 10-198. We request that this reference, which contains the data on which Fig. 2 of 6 7 the application is based, be considered. 8 9 **Specification** 10 Paragraph 7 lists a number of informalities in the Specifications. The Specifications 11 have been amended as follows to cure these informalities. 12 Paragraph [0004]. The last line of this paragraph has been amended so that the status 13 of U.S. Application Serial No. 10/121,390 has been changed from co-pending to abandoned. 14 Paragraph [0006]. The Specifications contained two sets of numbered superscripts; 15 one referred to the footnotes for Table 1 and the other referred to cited references in the 16 Information Disclosure Statement. The footnotes for Table 1 have been changed to letters (a 17 through e) from numbers (1 through 5) to remove this source of confusion. The equation in 18 the first footnote for the meaning of Electricity Consumption was found to be confusing. It 19 has been changed to: 20 Electricity consumption= (Electrical power input)/(Electrical power input+ LHV of 21 input fuel). Paragraph [0007]. The reference for USDOE<sup>1</sup> (Hydrogen Production and Delivery 22 23 Research Solicitation No. DE-PS36-03GO93007, July 24, 2003, pages 2, c-7) from the 24 Information Disclosure Statement has been added to the paragraph. 25 Paragraph [0009]. The reference for Spindt<sup>2</sup> (A Thin-Film Field Emission Cathode, J. 26 Of Applied Physics, 39, 1968, pp. 3504-3505) has been added from the Information 27 Disclosure Statement. 28 Paragraph [0011]. There was a mistake in the next to last sentence of the paragraph 29 that obscured its meaning. The sentence has been changed as follows: "The presence of H<sub>2</sub>O

results [[causes]] <u>in</u> chemical reactions wherein carbon dioxide rather than carbon or soot is formed."

Paragraph [0015]. Spurious commas and periods have been deleted. "electrode(s)" has been replaced by "electrodes." Also, the paragraph has been rewritten slightly to hopefully clarify matters of single and multiple electrodes and circuits.

Paragraph [0017]. A reference that had been disallowed for incompleteness has been added for Fig. 2 (Lias, Sharon G., Ionization Energies of Gas Phase Molecules, CRC Handbook Chemistry and Physics, 83<sup>rd</sup> Ed., pages 10-181 to 10-198)<sup>3</sup> As discussed earlier, the complete reference accompanies this Response.

Paragraph [0023]. The sentence extending from page 9, line 3 through line 7 has been amended to replace semicolons with commas and to delete a spurious comma.

13 Drawings

In Paragraph 8 of the Detailed Action, the drawings are objected to because Fig. 1 includes a reference character for a center axis line of the reactor that is not mentioned in the description in the Specifications. Either corrected drawings or adding the reference character to the description is required to avoid abandonment. The description has been amended in Paragraph [0015] in the sentence on the last line of 5 and the first line of page 6 by adding at the end of the sentence the clause "which surrounds the center line C of the reformer."

### Claim Rejection - 35 U.S.C. §112

In Paragraphs 9 through 11 of the Detailed Action, Claims 4-13 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which the applicant regards as the invention. The basis for this rejection is that Claims 4 and 7 contain improper Markush groups. Apparently Claims 5 and 6, and Claims 8 through 11 are rejected because they are dependent on Claims 4 and 7. The Markush groups have been amended to put them proper form as outlined in Paragraph 11. Putting the Markush groups traverses this basis for rejection of Claims 4 through 11. Claims 12 and 13 are not dependent on Claim 4 or on Claim 7. Therefore, Paragraphs 9 through 11 do not give the basis for Claims 12 and 13 to be rejected under 35

1 U.S.C. 112. However, Claim 12 is somewhat ambiguous as to the relationship between

2 electrodes, circuits, and electrical sources. It is rewritten to indicate that each circuit may be

3 connected to a separate electricity source, as is stated on lines 26 and 27 of page 5 of the

application. Amendment of Claim 13 is discussed under the rubric of Manner of Operating

5 Apparatus.

## Manner of Operating Apparatus

The Detailed Action on page 11 and elsewhere notes that expressions relating to a manner of operating the disclosed reformer are of no significance in determining patentability of an apparatus claim. Therefore, several claims have been amended to remove such language.

In the last element in Claim 1, "means for introducing gaseous material in a flow" is replaced by – ingress means – and "removing a reformate stream" is replaced by – egress means –. The same replacements are made in Claim 13.

The Detailed Action also indicates that Claims 5, 10 and 11 have such language. It appears that the offending expression is "ion neutralizing". To address this defect, "ion neutralizing" has been replaced by –ion-neutralization—. "Ion-neutralization" is an adjective modifying filter. "Ion-neutralization filter" should be a valid expression in an apparatus claim such as "oil filter" or "high efficiency particulate air (HEPA) filter" is.

Also, Claim 9 is amended to remove the expression "having a great capacity of absorbing thermal compression-expansion, shocks and vibrations and having the ability of sealing and protecting reformer material."

### Claim Rejection - 35 U.S.C. §103

In the Detailed Action, claims are rejected under 35 U.S.C. 103 in Paragraph 13, a first Paragraph 10 beginning on page 7 ("Paragraph 10-7"), a second Paragraph 10 beginning on page 9 ("Paragraph 10-9"), and a third Paragraph 10 beginning on page 10 ("Paragraph 10-10"). Relevant to rejection of claims under 35 U.S.C. 103 are an amendment to Claim 1 and addition of Claim 18. The limitation that an ion-neutralization filter surrounds the collector electrode means is added to Claim 1. This limitation was previously Claim 5, and

consequently Claim 5 is cancelled. New Claim 18 provides that the temperature range in the reaction chamber is 400°C to 1900°C. In the specifications as filed, this temperature range

in found in line 4 of page 7.

## Rejection of Claims 1 and 12-13

Rejection of Claim 1. In Paragraph 13 of the Detailed Action, Claims 1 and 12-13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wang (USP 5,614,156) in view of Kieser et al. (USP 5,746,051) and further in view of Sakurai et al. (USP 5,744,104). As amended Claim 1 has the ion-neutralization filter limitation from Claim 5, Paragraph 10-7 as it applies to Claim 5 is also relevant.

Paragraph 2143.03 of the MPEP, page 2100-133 (May 2004) provides that to establish *prima facie* obviousness of a claimed invention, all the claim limitations must be taught or suggested by the prior art. All words in a claim must be considered in judging the patentability of that claim against the prior art. If an independent claim is nonobvious under 35 U.S.C., then any claim dependent therefrom is nonobvious. Applicant believes that three elements of independent Claim 1, as amended, are neither taught or suggested by the prior art. The first element is that the reaction chamber has emitter electrode means attached impervious ceramic wall laterally bounding it, has an inner lateral wall containing collector walls, and has an electric circuit maintained between the emitter electrode means and the collector electrode means. The second element is the ion-neutralization filter surrounding the collector electrode means. The third element is the compression-expansion cushion mat material surrounding the low thermal conductivity material.

The Detailed Action states in the first paragraph of page 6, Wang (156) does not disclose means for generating plasma comprising emitter electrode means attached to the first ceramic wall, an inner lateral wall containing collector electrode means, and an electric circuit maintained between the emitter electrode means and the collector electrode means. It therefore relies on Kieser et al to teach or suggest this limitation. On page 6, second paragraph, the Detailed Action characterized the device taught by Kieser et al. as disclosed in Fig. 1 as follows: "Kieser et al teach effective means for generating plasma in a reactor used for destruction of volatile pollutants comprising emitter electrode means attached to the wall

2 means, and an electric circuit maintained between the emitter electrode means and the 3 collector electrode means." The Applicant earnestly contends that this description of the 4 device taught by Kieser et al. in Fig. 1 is inaccurate. Kieser et al. in C2/L50-67 describe the 5 discharge vessel 60 shown in Fig. 1 as containing an even number of flat rectangular 6 electrodes 62 and the same number less one of flat rectangular insulating plates 64, with 7 alternating electrodes and insulating plates, with adjacent electrodes having opposite 8 polarity, and with electrodes adjacent to the discharge vessel. The vessel taught by Kieser et 9 al. does not have an inner lateral wall for collector electrodes to be attached to; rather it has 10 an interior filled with alternating electrode plates and insulating plates and no inner wall. In 11 contrast, interior of the reaction chamber (i.e., the space between the outer lateral wall and . 12 attached emitter electrodes and the inner lateral wall and attached electrodes) and except for 13 the ion-neutralization filter, contains only plasma in the instant claims. Kieser et al. (C1/15-

laterally bounding the reaction chamber, an inner lateral wall containing collector electrode

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16 (Kieser et al C3/L26-L37 appears to be concerned about flashover discharges). Flashover 17 discharges are irrelevant in the instant invention. Their device is used to detoxify exhaust

discharges (or "silent discharges"), which requires dielectric layers between electrodes

117) describe their device as operating according with the principle of dielectrically inhibited

fumes from mobile equipment. The instant invention has a completely different use; namely

to dissociate H<sub>2</sub>O and hydrocarbons. The principle upon which the instant invention operates

is described in Paragraphs [0015] through [0019]. It is a completely different principle than the principle of dielectrically inhibited discharges.

Page 6 of the Detailed Action states "As instant specification is silent to unexpected results, it would have been obvious to one of ordinary skills in the art at the time of the invention to substitute the plasma generating means of Wang with plasma generating means of Kieser et al, because doing so would amount to nothing more than a use of a known apparatus for its intended use in a known environment to accomplish entirely expected results." It is believed that it is not justified to draw this conclusion because the instant specifications do not assert unexpected results. It is not appropriate for initial specifications to assert unexpected results, which is a secondary consideration under *Graham v John Deere*. Paragraph 716 of the MPEP addresses affidavits and declarations traversing rejections

pursuant to 37 CFR 1.132 and Paragraph 716.02 specifically addresses the content of Section 132 affidavits alleging unexpected results. The point being made is that the appropriate time to allege unexpected results is after claims have been rejected and the appropriate manner is in a Section 132 affidavit that satisfies the criterion of Paragraph 716.02 of the MPEP. Since an allegation of unexpected results does not belong in the initial specifications, it is unwarranted to draw conclusions from its absence.

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Furthermore, substituting the reaction chamber of Wang with the vessel of Kieser et al. for dissociating hydrocarbon fuel and H<sub>2</sub>O does not use a known apparatus for its intended use in a known environment to accomplish entirely known results. Wang's invention is directed toward the thermal destruction of heavy-molecule volatile organic compounds (VOCs) such as polychlorinated biphenyls (PCBs),(C1/L12-l23) which are different from constituents of exhaust of mobile equipment. Thus, replacing the plasma generating means of Wang with the plasma generating means of Kieser et al. device is more than use of known apparatus for its intended use in a known environment to accomplish entirely known results. Destroying heavy-molecule VOCs is not the intended use of Kieser et al.'s and the mobile equipment exhaust environment is different from Wang's reactor environment. Furthermore, the instant invention is directed not toward thermal destruction of heavy-molecule VOCs nor toward exhaust detoxification, but rather toward dissociating hydrocarbon fuel and water. The sooty environment in which their device operates is far different from the environment in the reaction chamber of the instant invention with its intense field of ions and electrons as well as full (100%) concentration of hydrocarbon fuel and water. The difficulty of dissociating H<sub>2</sub>O by thermal energy (rather than by expensive electrolysis) is discussed in Paragraph [0008] of the instant application. There is no reason to believe that the thermal dissociation of H<sub>2</sub>O could be achieved in the reactor of Wang, with or without substituting the plasma generating means of Kieser et al.

Paragraph 2141 of the Manual of Patent Examining Procedures (MPEP), pg 2100-120 (May 2004) contains four basic tenets of patent law that must be adhered to when considering obviousness rejections. The second tenet states "The references must suggest the desirability and thus the obviousness of making the combination." The MPEP also states on page 2100-131 (May 2004) that the mere fact that references can be combined does not

render the resultant combination obvious unless the prior art also suggests the desirability of the combination. The Detailed Action contains no suggestion of the desirability of substituting the plasma generating means Kieser et al for the plasma generating means of Wang (156). It is believed, therefore, that the Section 103 rejection of Claims 1 and 12-13 is contrary to this second tenet of suggested desirability of making the substitution. Therefore, we believe that the rejection of independent Claim 1 under 35 U.S.C. 103 based on the plasma generating means being obvious in view of Kieszer et al. is traversed.

The Detailed Action on page 6, characterizes Sakurai et al as teaching the use of a compression-expansion cushion mat material (171) surrounding the ceramic insulator material (173) as shown in their Fig. 17. Sakurai et al. discuss the embodiment shown in Fig. 17 at (C12/L44-54). The figure shows separate units of cushion members (171) and seal members (172) that require cap (174) and holder (61) to accept external forces to perform their function. The commercially available 3M Interam is a very flexible "one-piece" sheet material that functions as the compression-expansion mat material that negates the need for the cap and holder required by the invention taught by Sakurai et al. Because Sakurai et al teachings need a seal member, a holder, and a cap (a functionality that is strongly dependent on mechanical operation), they do not teach the "expansion-compression mat material" whose functionality is strongly thermal or temperature-dependent. Neither does their disclosure suggest it. Note that mat was added to Claim 1 to be consistent with the terminology of the specifications) of Claim 1

Claim 1 as amended includes the limitation of an ion-neutralization filter surrounding the collector electrode means. In Paragraph 10-7, page 8, the Detailed Action asserts that Kieser et al. (C3/L38-46) disclose "an ion neutralizing filter surrounding the collector electrode in the reactor chamber." This paragraph referred to, discusses the dielectric, what material can be used, and how it can be made. The last sentence in the paragraph makes clear that a major purpose of the dielectrics is to achieve high capacitance allowing optimum power supply. Nowhere does Kieser et al. refer to the dielectric layers as having a filtering purpose. The dielectric layers taught by Kieser et al are only insulating material that have no reaction or electric exchange with ions and electrons. Hence, ions and electrons can pass through these layers. As stated in paragraph [0015] of the instant application, "The filter acts

to neutralize ions and to allow and passing of electrons to the collector electrodes while slowing them down so that they impart less kinetic energy (heat) to the collector electrodes. The filter also acts as a thermal radiation shield to cool the collector electrodes to improve their effectiveness." Because the dielectric layers of Kieser et al allow passage of both ions and electrons, they are not ion-neutralization filters in the environment of the reaction chamber in the instant invention. Therefore, we believe that Kieser et al does not teach or suggest an ion-neutralization filter and consequently this limitation of independent Claim 1 is not taught or suggested by the prior art cited in the Detailed Action. For this reason also Claim 1 is not obvious in view of the prior act and its rejection under 35 U.S.C. 103 is traversed.

Rejection of Claims 12 and 13. In Paragraph 13 of the Detailed Action, Claims 12 and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wang (USP 5,614,156) in view of Kieser et al. (USP 5,746,051) and further in view of Sakurai et al. (USP 5,744,104). Claims 12 and 13 are dependent on independent Claim 1. Paragraph 2143.03 of the MPEP, page 2100-133 (May 2004) provides that to establish *prima facie* obviousness of a claimed invention, all the claim limitations must be taught or suggested by the prior art. If an independent claim is nonobvious under 35 U.S.C., then any claim dependent therefrom is nonobvious. As the rejection of independent Claim 1 under 35 U.S.C. 103 has been traversed, the rejections of Claims 12 and 13 are also traversed.

On page 7, the Detailed Action characterizes Wang (156) as disclosing "plural electric circuits connected to plural electrical sources in regard to Claims 12 and 13. Wang discloses (C4/L19-51) electric heating elements with the shape shown in Fig. 2 of that patent in the reactor chamber. These electric heating sources form circuits with electric sources. Wang does not disclose emitter electrodes and collector electrodes with electric circuits between them. Rather, Wang merely discloses electric heating elements. Claim 12 is dependent on Claim 1 and therefore includes the limitations of Claim 1, including emitter electrodes and collector electrodes forming circuits, which is not taught nor suggested by Wang (156)

As discussed under Claim Rejection - 35 U.S.C. §103, Claim 13 has been amended for the sake of clarity. Also, as discussed under Manner of Operating Apparatus, Claims 1

and 13 have been amended to remove language related to the manner of operating the disclosed reformer.

### Rejection of Claims 2-8 and 11

In Paragraph 10-7 of the Detailed Action, Claims 2-8 and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wang (USP 5,614,156) in view of Kieser et al. (USP 5,746,051) and further in view of Sakurai et al. (USP 5,744,104), applied to Claim 1, and further in view of Tuck et al. (USP 6,097,139). It should be noted that this Response adds Claim 18 which is dependent on Claim 1 and which adds the limitation found in paragraph [0017] of a temperature range in the reaction chamber in the range of 400°C to 1900°C. Claims 2-8 are directly or indirectly dependent on Claim 18. Also Claim 5 has been deleted because its limitations have been directly incorporated in Claim 1. Claims 2-4, 6-8, 11, and 18 are dependent on independent Claim 1. Paragraph 2143.03 of the MPEP, page 2100-133 (May 2004) provides that to establish *prima facie* obviousness of a claimed invention, all the claim limitations must be taught or suggested by the prior art. If an independent claim is nonobvious under 35 U.S.C., then any claim dependent therefrom is nonobvious. As the rejection of independent Claim 1 under 35 U.S.C. 103 has been traversed, the rejections of Claims 2-4, 6-8, 11 and 18 are also traversed.

Claims 2-4 differ from Claim 1 in that details about the emitter electrode means are given ("having a multiplicity of thin needle-like extrusions in Claim 2 and the needle-like extrusions having diameters between 1 nanometer and 100 micrometers in Claim 3; and the metals for the electrodes in Claim 4). On page 7, the Detailed Action identifies that Tuck et al. teaches the use of emitting fibers with diameters in the range 0.5 micrometers to 100 micrometers, a range encompassing part of the range in Claim 3 of the instant application, for applications that include plasma reactors. These fibers are similar to the needle-like extrusions as to diameter and number. Tuck et al. teach fibers containing conducting particles comprising silicon carbide, tantalum carbide, hafnium carbide, zirconium carbide, the Magneli sub-oxides of titanium, semiconducting silicon, III-V compounds and II-VI compounds (C4/L44-49). These particles may be coated or inked with a plurality of insulating layers containing such materials as glass ceramics, ceramic, oxide ceramic, nitride,

boride, or diamond (C5/L1-29). Tuck el at. do not mention the operational temperature range for their invention. However, one with ordinary skills in the art would know that this type of "needle" would only survive at low temperature. Increases in operational temperature degrades the material, changes its properties, and causes instability or catastrophic failure. Claim 18 and the claims dependent on it have the limitation of an operating temperature range of 400°C to 1900°C. As persons with ordinary skills in the art would know of the shortcomings of using the "needles" of Tuck et al. at high temperatures, it would not be obvious one with ordinary skills in the art to substitute the "needles" of Tuck et al for the needles of the instant invention.

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In Claim 4 (as amended), the electrode means, includes the needles, are identified as "a metal selected from [[a]] the group consisting of tungsten, zirconium, titanium, molybdenum, and alloys thereof." However, Tuck et al does not teach the use of metals and alloys at (C4/L44-49); rather they teach the use of particles made of oxides, carbides and silicon compounds contained within. Metals and their alloys have significantly different properties regarding their use as electrodes than carbides, oxides, and silicon compounds have. On page 7, the Detailed Action says that Tuck et al. discloses electrodes made of these materials. It also appears to say that it would be obvious to one having ordinary skills in the art that substituting the emitter electrodes of Tuck et al for the electrodes in the instant invention would improve performance by having increased thermal conductivity and thermal stability. As discussed in the preceding paragraph thermal stability would be degraded by using conducting particles imbedded in insulating layers. It is well known that metals have higher thermal conductivity than the materials taught by Tuck et al. They do not teach the use of the same materials as the instant invention and since persons with ordinary skills in the art would likely know that the electrodes of Tuck et al would degrade thermal stability and thermal conductivity of the electrodes, Tuck et al do not suggest the substitution of their electrodes for the electrodes of the instant invention. Consequently, the Applicant believes that his use of metal and metal alloy electrodes in Claim 4 is not rendered obvious by Tuck et al teaching the use of carbide, oxide or silicon compound fibers.

Paragraph 10-7 finds limitations in Claims 2-8 and 11 that are directed to a manner of operation. Such language was found in Claims 5 and 11 and addressed as was discussed

under the rubric of *Manner of Operating Apparatus*. Such language was not apparent in Claims 2-4 and 6-8.

# Rejection of Claim 9

Paragraph 10-9 of the Detailed Action, rejects Claim 9 under 35 U.S.C. 103(a) as being unpatentable over Wang (USP 5,614,156) in view of Kieser et al. (USP 5,746,051) and further in view of Sakurai et al. (USP 5,744,104), further in view of Tuck et al. (USP 6,097,139), as applied to Claim 8, and further in view of 3M Designer's Guide for Interam<sup>TM</sup> Catalytic Converter Mat Product. Claim 9 is dependent on independent Claim 1. Paragraph 2143.03 of the MPEP, page 2100-133 (May 2004) provides that to establish *prima facie* obviousness of a claimed invention, all the claim limitations must be taught or suggested by the prior art. If an independent claim is nonobvious under 35 U.S.C., then any claim dependent therefrom is nonobvious. As the rejection of independent Claim 1 under 35 U.S.C. 103 has been traversed, the rejection Claim 9 is also traversed.

Paragraph 10-9 of the Detailed Action finds limitations in Claim 9 that are directed to a manner of operation. Such language was discussed under the rubric of *Manner of Operating Apparatus* and has been deleted in amended Claim 9.

### Rejection of Claim 10

Paragraph 10-10 of the Detailed Action, rejects Claim 10 under 35 U.S.C. 103(a) as being unpatentable over Wang (USP 5,614,156) in view of Kieser et al. (USP 5,746,051) and further in view of Sakurai et al. (USP 5,744,104), further in view of Tuck et al. (USP 6,097,139), as applied to Claim 5, and further in view of Naeem (USP 6,197,267). Claim 10 is dependent on independent Claim 1. Paragraph 2143.03 of the MPEP, page 2100-133 (May 2004) provides that to establish *prima facie* obviousness of a claimed invention, all the claim limitations must be taught or suggested by the prior art. If an independent claim is nonobvious under 35 U.S.C., then any claim dependent therefrom is nonobvious. As the rejection of independent Claim 1 under 35 U.S.C. 103 has been traversed, the rejection Claim 10 is also traversed.

Naeem teaches the use of alumina and semiconductor (insulating) material as a substrate, and over the substrate the use of a high-dielectric constant material required for its catalytic reactions that are imbedded in a conductive film that is covered with a nonconductive coating. This arrangement is described as lowering the voltage required to reactor. This arrangement, which does not resemble the ion-neutralization filter of the instant invention and Naeem does not suggest that it neutralizes ions. Naeems's teachings are directed to a different structure for a different function. It would neither teach nor suggest the ion-neutralization filter in Claim 10.

Paragraph 10-9 finds limitations in Claim 10 that are directed to a manner of

Paragraph 10-9 finds limitations in Claim 10 that are directed to a manner of operation. Such language was discussed and address under the rubric of *Manner of Operating Apparatus* by changing "ion neutralizing" to – ion-neutralization –.

Respectfully submitted,

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